



Attorney's Docket No. 032304-028

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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TECHNOLOGY CENTER R3700

In re Patent Application of

Shanley

Application No.: 09/867,382

Filed: May 31, 2001

For: Expandable Medical Device Delivery
System and Method

Group Art Unit: 3763

Examiner: Unassigned

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited below is enclosed:

U.S. Patents

<u>Number</u>	<u>Issue Date</u>	<u>Inventor(s)</u>
3,657,744	4/25/72	Ersek
4,300,244	11/17/81	Bukros
4,531,936	7/30/85	Gordon
4,542,025	9/17/85	Tice, et al.
4,580,568	4/8/86	Gianturco
4,650,466	3/17/87	Luther
4,733,665	3/29/88	Palmaz
4,739,762	4/26/88	Palmaz
4,776,337	10/11/88	Palmaz
4,800,882	1/31/89	Gianturco
4,824,436	4/25/89	Wollnsky
4,834,755	5/30/89	Silvestrini, et al.

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4,955,878	9/11/90	See et al.
4,957,508	9/18/90	Kaneko, et al.
4,960,790	10/2/90	Steela, et al.
4,969,458	11/13/90	Witktor
4,989,601	2/5/91	Marchosky, et al.
4,990,155	2/5/91	Wilkoff, et al.
4,994,071	2/19/91	MacGregor
5,019,090	5/28/91	Pinchuk
5,049,132	9/17/91	Shaffer, et al.
5,053,048	10/1/91	Pinchuk
5,059,166	10/22/91	Fishcell, et al.
5,059,178	10/22/91	Ya, et al.
5,059,211	10/22/91	Stack, et al.
5,092,841	3/3/92	Spears
5,102,417	4/7/92	Palmaz
5,171,217	12/15/92	March, et al.
5,171,262	12/15/92	MacGregor
5,176,617	1/5/93	Fischell, et al.
5,195,984	3/23/93	Schatz
5,197,978	3/30/93	Hess
5,213,580	5/25/93	Slepian, et al.
5,234,456	8/10/93	Silvestrini
5,286,254	2/15/94	Shapland, et al.
5,292,512	3/8/94	Schaefer, et al.
5,304,121	4/19/94	Sahatjian
5,314,688	5/24/94	Kauffman, et al.
5,342,621	8/30/94	Eury
5,342,348	8/30/94	Kaplan
5,380,299	1/10/95	Fearnot, et al.
5,383,892	1/24/95	Cardon, et al.
5,383,928	1/24/95	Scott, et al.
5,403,858	4/4/95	Bastard, et al.

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5,407,683	4/18/95	Shively
5,415,869	5/16/95	Straubinger, et al.
5,419,760	5/30/98	Narciso, Jr.
5,439,446	8/8/95	Barry
5,439,686	8/8/95	Desai, et al.
5,441,745	8/15/95	Presant, et al.
5,443,496	8/95	Schwartz, et al.
5,443,497	8/95	Venbrux
5,447,724	9/5/95	Helmus, et al.
5,449,373	9/12/95	Pinchasik, et al.
5,449,513	9/12/95	Yokoyama, et al.
5,457,113	10/10/95	Cullinan, et al.
5,460,817	10/24/95	Langley, et al.
5,462,866	10/31/95	Wang
5,464,450	11/7/95	Buscemi, et al.
5,464,650	11/7/95	Berg, et al.
5,473,055	12/5/95	Mongelli, et al.
5,499,373	3/12/96	Richards, et al.
5,545,210	4/13/96	Hess, et al.
5,575,771	11/19/96	Walinsky
5,578,075	11/26/96	Dayton
5,593,434	1/14/97	Williams
5,607,442	3/4/97	Fischell, et al.
5,616,608	4/1/97	Kinsella, et al.
5,617,878	4/8/97	Taheri
5,628,787	5/13/97	Mayer
5,674,278	10/7/97	Boneau
5,697,971	12/16/97	Fischell, et al.
5,713,949	2/3/98	Jayaraman
5,716,981	2/10/98	Hunter, et al.
5,769,883	6/23/98	Buscemi, et al.

5,776,181	7/7/98	Lee, et al.
5,817,152	10/6/98	Birdsall, et al.
5,824,045	10/20/98	Alt
5,843,117	12/1/98	Alt, et al.
5,843,120	12/1/98	Israel, et al.
5,843,175	12/1/98	Frantzen
5,853,419	12/29/98	Imran
5,855,600	1/5/99	Alt
5,886,026	2/23/99	Hunter, et al.
5,922,020	7/13/99	Klein, et al.
5,922,021	7/13/99	Jang
5,964,798	10/12/99	Imran
5,968,092	10/19/99	Buscemi, et al.
5,972,027	10/26/99	Johnson
6,007,517	12/28/99	Anderson
6,017,363	1/25/00	Hojeibane
6,019,789	2/1/00	Dinh, et al.
6,030,414	2/29/00	Taheri
6,056,722	5/2/00	Jayaraman
6,063,101	5/16/00	Jacobsen, et al.
6,241,762	6/5/01	Shanley
6,290,673	9/18/01	Shanley
6,293,967	9/25/01	Shanley

Foreign Patents

WO 90/13332	11/15/90	Siegel, et al.
WO 91/10424	7/25/91	Bouck, et al.
WO 91/11193	8/8/91	Moses, et al.
WO 91/12779	9/5/91	Wolff, et al.
WO 92/12717	8/6/92	Brem, et al.
WO 92/15286	9/17/92	Domb, et al.
WO 93/06792	4/15/93	Buscemi, et al.

WO 94/21308	9/29/94	Lambert
WO 94/24961	11/10/94	Laptewicz, et al.
WO 95/03036	2/2/95	Hunter, et al.
WO 95/03795	2/9/95	Kinsella, et al.
WO 96/03092	2/8/96	Israel, et al.
WO 96/29028	9/26/96	Grass, et al.
WO 97/04721	2/13/97	Lee, et al.
WO 98/18407	5/7/98	Kranz, et al.
WO 98/19628	5/14/98	Vardi, et al.
WO 98/58600	12/30/98	Hilaire, et al.
WO 99/15108	4/1/99	Berry, et al.
WO 99/49928	10/7/99	Shanley
EP 0 335 341	10/4/89	Palmaz
EP 0 353 341	2/7/90	Fukasawa, et al.
EP 0 375 520	6/27/90	Schaefer, et al.
EP 0 470 569	2/12/92	Okada, et al.
EP 0 566 245	10/20/93	Schwartz, et al.
EP 0 567 816	11/3/93	Schuler, et al.
EP 0 627 226	12/7/94	Severini
EP 0 679 373	11/2/95	Dixon, et al.
EP 0 706 376	6/25/97	Burt, et al.
EP 0 734 698	10/2/96	Manitz, et al.
EP 0 897 700	2/24/99	Wilson, et al.
FR 2 764 794	12/24/98	Pierre


Articles

Emanuelsson, H., et al., *The Jostent® Coronary Stent Range*, Ch. 19.

Hwang, C-W, et al., "Physiological Transport Forces Govern Drug Distribution for tent Based Delivery"

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

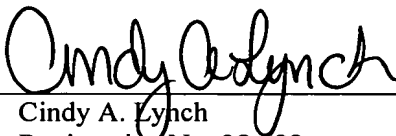
The Examiner's attention is directed to copending application number 09/932,093 naming John F. Shanley as inventor(s) and filed on August 20, 2001, and the documents cited therein, a copy of which is enclosed.

 In accordance with MPEP § 609(c)(2) (February 2000, page 600-107), the Office is requested to return a copy of this Information Disclosure Statement with the Examiner's initials adjacent to this paragraph indicating that this copending application has been considered. By citation to the copending application, confidentiality is not waived and the Office is requested to maintain the confidentiality of the copending application under 35 U.S.C. § 122.

To assist the Examiner, the listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

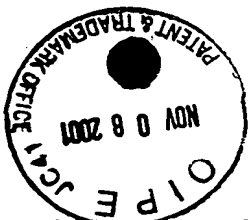
Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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Date: October 29, 2001



Patent
Attorney's Docket No. 032304-020

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Shanley

Application No.: 09/867,382

Filed: May 31, 2001

**For: Expandable Medical Device Delivery
System and Method**

Group Art Unit: 3763

Examiner: Unassigned

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y's Docket No. 832304-020
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TECHNOLOGY CENTER R3700
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signed

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$_____ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

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Date: October 30, 2001